

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/971,992
Filing Date	October 4, 2001
Examiner Name	Linh M. Nguyen
First Named Inventor	Kyu-Nam LIM
Group Art Unit	2816
Attorney Docket Number	2557-000043/US

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on December 11, 2002
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

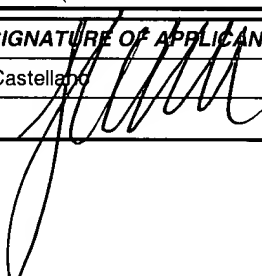
2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 08-0750.
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☒ Other Any deficiency for a fee required under 37 CFR 1.16 or 1.17.
- b. ☒ Check in the amount of \$ 1016.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

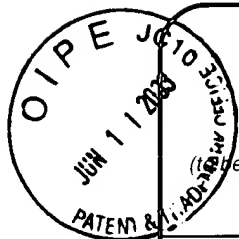
Name (Print /Type)	John A. Castellano	Registration No. (Attorney/Agent)	35,094
Signature		Date	June 11, 2003

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FC:1801

750.00 GP

Please type a plus sign (+) inside this box → ☐

HDP/SB/21 based on PTO/SB/21 (08-00)



TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/971,991
Filing Date	October 4, 2001
First Named Inventor	Kyu-nam LIM
Group Art Unit	2816
Examiner Name	Linh M. NGUYEN
Attorney Docket Number	2557-000043/US

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
 - ☒ Fee Attached
- ☒ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Letter to the Official Draftsperson and Four (4) Sheets of Formal Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

- ☒ Other Enclosure(s) (please identify below):

Request for Continued Examination

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Harness, Dickey & Pierce, P.L.C.

Attorney Name
John A. Castellano

Reg. No.
35,094

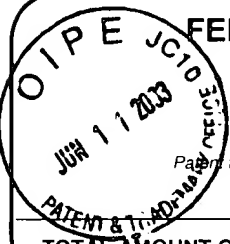
Signature

Date

June 11, 2003

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 FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
TOTAL AMOUNT OF PAYMENT (\$) 1016.00		Application Number	09/971,991
		Filing Date	October 4, 2001
		Inventor(s)	Kyu-Nam LIM
		Examiner Name	Linh M. Nguyen
		Group / Art Unit	2816
		Attorney Docket No.	2557-000043/US

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)						
1.	<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEES					
Deposit Account Number: 08-0750 Deposit Account Name: Harness, Dickey & Pierce, P.L.C. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
2. <input type="checkbox"/> Payment Enclosed:			105	130	205	65	Surcharge - late filing fee or oath	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
			139	130	139	130	Non-English specification	
			147	2,520	147	2,520	For filing a request for reexamination	
			112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
			115	110	215	55	Extension for reply within first month	110
			116	410	216	205	Extension for reply within second month	
			117	930	217	465	Extension for reply within third month	
			118	1,450	218	725	Extension for reply within fourth month	
			128	1,970	228	985	Extension for reply within fifth month	
			119	320	219	160	Notice of Appeal	
			120	320	220	160	Filing a brief in support of an appeal	
			121	280	221	140	Request for oral hearing	
			138	1,510	138	1,510	Petition to institute a public use proceeding	
			140	110	240	55	Petition to revive - unavoidable	
			141	1,300	241	650	Petition to revive - unintentional	
			142	1300	242	650	Utility issue fee (or reissue)	
			143	470	243	235	Design issue fee	
			144	630	244	315	Plant issue fee	
			122	130	122	130	Petitions to the Commissioner	
			123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
			126	180	126	180	Submission of Information Disclosure Stmt	
			581	40	581	40	Recording each patent assignment per property (times number of properties)	
			146	750	246	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
			149	750	249	375	For each additional invention to be examined (37 CFR § 1.129(b))	
			179	750	279	375	Request for Continued Examination (RCE)	750
			169	900	169	900	Request for expedited examination of a design application	
			Other fee (specify) _____					
			*Reduced by Basic Filing Fee Paid					
			SUBTOTAL (3)					(\$) 860

FEE CALCULATION																																																																																																					
1. BASIC FILING FEE <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>750</td> <td>201</td> <td>375</td> <td>Utility filing fee</td> <td></td> </tr> <tr> <td>106</td> <td>330</td> <td>206</td> <td>165</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>520</td> <td>207</td> <td>260</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>750</td> <td>208</td> <td>375</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>160</td> <td>214</td> <td>80</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: center;">(\$) 0</td> </tr> </tbody> </table>	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	101	750	201	375	Utility filing fee		106	330	206	165	Design filing fee		107	520	207	260	Plant filing fee		108	750	208	375	Reissue filing fee		114	160	214	80	Provisional filing fee		SUBTOTAL (1)					(\$) 0	2. EXTRA CLAIM FEES <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>24</td> <td>-20 ** = 4</td> <td>X 18 =</td> <td>72</td> </tr> <tr> <td>Independent Claims: 4</td> <td>-3 ** = 1</td> <td>X 84 =</td> <td>84</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td>X =</td> <td>0</td> </tr> </tbody> </table> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>102</td> <td>84</td> <td>202</td> <td>42</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>104</td> <td>280</td> <td>204</td> <td>140</td> <td>Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>109</td> <td>84</td> <td>209</td> <td>42</td> <td>** Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2)</td> <td style="text-align: center;">(\$) 156</td> </tr> </tbody> </table>	Total Claims	Extra Claims	Fee from below	Fee Paid	24	-20 ** = 4	X 18 =	72	Independent Claims: 4	-3 ** = 1	X 84 =	84	Multiple Dependent		X =	0	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20		102	84	202	42	Independent claims in excess of 3		104	280	204	140	Multiple dependent claim, if not paid		109	84	209	42	** Reissue independent claims over original patent		110	18	210	9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$) 156
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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	John A. Castellano	Registration No. Attorney/Agent	35,094	Telephone	703-668-8000
Signature		Date	June 11, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.